

NVB 3001 (Effective 1/21)

| UNITED STATES BANKRUPTCY COURT FOR THE DISTRICT OF NEVADA | | PROOF OF INTEREST |
|---|---|---|
| Name of Debtor: Meta Materials | Case Number: 24-50792 24-50792 | COURT USE ONLY |
| 1. Name and address of holder of the Equity Interest (the person or entity holding an Equity Interest in the Debtor. Referred to hereinafter as the "Interest holder"): DANIEL R. JOHNSON 7406 ROOSES DR INDPLS, IN 46217 | <input type="checkbox"/> Check box if you are aware that anyone else has filed a proof of interest relating to your interest. Attach copy of statement giving particulars. <input type="checkbox"/> Check box if you have never received any notices from the bankruptcy court or the Debtors in this case. <input type="checkbox"/> Check box if this address differs from the address on the envelope sent to you by the Debtors. | |
| Telephone Number: 317-946-8493 | | |
| NOTE: This form SHOULD NOT be used to make a claim against the Debtor for money owed. A separate Proof of Claim form should be used for that purpose. This form should only be used to assert an Equity Interest in the Debtor. An Equity Interest is any right arising from any capital stock and any equity security in any of the Debtor. An equity security is defined in the Bankruptcy Code as (a) a share in a corporation whether or not transferable or denominated stock or similar security, (b) interest of a limited partner in a limited partnership, or (c) warrant or right other than a right to convert, to purchase, sell, or subscribe to a share, security, or interest of a kind specified in subsection (a) or (b) herein. | | |
| Account or other number by which Interest holder identifies Debtor: 234-189249-2 | Check here if this claim: <input type="checkbox"/> replaces a previously filed Proof of Interest dated: _____ <input type="checkbox"/> amends a previously filed Proof of Interest dated: _____ | |
| 2. Name and Address of any person or entity that is the record holder for the Equity Interest asserted in this Proof of Interest: Charles Schwab PO Box 2339 Omaha NE 68103 | 3. Date Equity Interest was acquired: Bought 12060 dated From 7-21-21 to 6-20-23 see attached forms. Sell 187 shares dated From 8-31-22 to 8-13-2024 | |
| Telephone Number: 877-519-1403 | | |
| 4. Total amount of member interest: Bought 12,060 Sold 187 | 5. Certificate number(s): _____ | |
| 6. Type of Equity Interest: Please indicate the type of Equity Interest you hold: <input checked="" type="checkbox"/> Check this box if your Equity Interest is based on an actual member interest held in the Debtor. <input type="checkbox"/> Check this box if your Equity Interest is based on anything else and describe that interest: Description: INVESTOR | | |
| 7. Supporting Documents: Attach copies of supporting documents, such as stock certificates, option agreements, warrants, etc. DO NOT SEND ORIGINAL DOCUMENTS. If the documents are not available, explain. If the documents are voluminous, attach a summary. | | |
| 8. Date-Stamped Copy: To receive an acknowledgement of the filing of your Proof of Interest, enclose a stamped, self-addressed envelope and copy of this Proof of Interest. | | |
| 9. Signature: Check the appropriate box. <input checked="" type="checkbox"/> I am the creditor. <input type="checkbox"/> I am the creditor's authorized agent. <input type="checkbox"/> I am the trustee, or the debtor, or their authorized agent. <input type="checkbox"/> I am a guarantor, surety, endorser, or other codebtor. (Attach copy of power of attorney, if any.) (See Bankruptcy Rule 3004.) (See Bankruptcy Rule 3005.) I declare under penalty of perjury that the information provided in this claim is true and correct to the best of my knowledge, information, and reasonable belief. Print Name: DANIEL R. JOHNSON Title: _____ Company: _____ Address and telephone number (if different from notice address above): _____ _____ _____ Telephone number: 317-946-8493 email: dan.johnson7406@comcast.net | | |
| | | Daniel R Johnson 11-7-2024 (Signature) (Date) |

Penalty for presenting fraudulent claim is a fine of up to \$500,000 or imprisonment for up to 5 years, or both. 18 U.S.C. §§ 152 AND 3571

317.946-8493
Daniel Johnson

for a loss of \$15442.
8-13-2024
So Mike shares on

They did a reverse split to
the stock on 1-29-24
100 for 1 reducing no
shares to 17

I bought 12,060 shares
dated from 7-21-21 to 6-20-23



PO BOX 2577 - OMAHA NE 68103-2577

Please do not send deposits or correspondence to this address.

TD AMERITRADE, INC.

PO BOX 2209

OMAHA NE 68103-2209

DANIEL R JOHNSON
7406 ROOSES DR
INDIANAPOLIS IN 46217-5489



Confirmation Notice

| ACCOUNT NO. | OTHER INFO | TRANSACTION NUMBER | CAP CODES | YOUR REPRESENTATIVE | | |
|--|------------|--------------------|-----------|---------------------|------------|----------|
| 234-189249-2 | | 36397831741 | A | TD AMERITRADE | | |
| ACTIVITY | QUANTITY | CUSIP NUMBER | PRICE | PRINCIPAL AMOUNT | MISC. FEES | REG FEES |
| YOU BOUGHT | 275 | 59134N104 | 3.2687 | 898.89 | | 0.00 |
| AS OF TRADE DATE | TRADE DATE | SETTLEMENT DATE | INTEREST | COMMISSION/FEE | NET AMOUNT | |
| | 07/27/2021 | 07/29/2021 | | 0.00 | 898.89 | |
| TRADE DESCRIPTION | | | SYMBOL | | | |
| META MATERIALS INC COM | | | MMAT | | | |
| THIS IS AN UNSOLICITED TRADE FOR FURTHER INFORMATION REGARDING YOUR TRADE CONFIRMATION PLEASE REFER TO THE TERMS AND CONDITIONS. *CLBL - If the above security description includes a 'CLBL' notation, this transaction involves a debt security that is subject to call or redemption before maturity. The debt security may be called or redeemed in whole or in part before maturity which could affect its yield. Additional information is available upon request. | | | | | | |

Bought
12060.
shares

7-27-21 to 6-2023

Sold
187
shares

8-31-22 to 8-13-2024

TD AMERITRADE, INC.
PO BOX 2209
OMAHA NE 68103-2209



SIPO
SOCIETY OF INVESTMENT PROFESSIONALS

| ACCOUNT NO. | OTHER INFO | TRANSACTION NUMBER | CAP CODES | YOUR REPRESENTATIVE | | |
|--|------------|--------------------|-----------|---------------------|------------|----------|
| 234-189249-2 | | 40032358206 | A | TD AMERITRADE | | |
| ACTIVITY | QUANTITY | CUSIP NUMBER | PRICE | PRINCIPAL AMOUNT | MISC. FEES | REG FEES |
| YOU BOUGHT | 25 | 59134N104 | 2.32 | 58.00 | | 0.00 |
| AS OF TRADE DATE | TRADE DATE | SETTLEMENT DATE | INTEREST | COMMISSION/FEE | NET AMOUNT | |
| | 01/11/2022 | 01/13/2022 | | 0.00 | 58.00 | |
| TRADE DESCRIPTION | SYMBOL | | | | | |
| META MATERIALS INC COM | MMAT | | | | | |
| THIS IS AN UNSOLICITED TRADE FOR FURTHER INFORMATION REGARDING YOUR TRADE CONFIRMATION PLEASE REFER TO THE TERMS AND CONDITIONS. *CLBL - If the above security description includes a 'CLBL' notation, this transaction involves a debt security that is subject to call or redemption before maturity. The debt security may be called or redeemed in whole or in part before maturity which could affect its yield. Additional information is available upon request. | | | | | | |



Confirmation Notice

Account 234-189249

Page 2 of 2

| ACCOUNT CODE: Type of Account | | CAPACITY CODE: | |
|-------------------------------|----------------|---|--|
| 1. Cash | 2. Margin Long | A= Agent | C= Cross Trade |
| 3. Margin Short | 4. Income | P= Principal | R= Riskless Principal |
| 5. Withholding | 6. Special | Prime Broker if provided (contact the executing broker for further details) | |
| | | 3= Agent for person other than customer | 4= Agent for both customer and another person |
| | | 6= Acts as both principal and agent | 7= Acts as principal, agent and agent for another person |
| | | 9= Crossing as principal | 5= Principal for some executions and agent for other |
| | | | 8= Crossing as agent |

IT IS AGREED BETWEEN THE EXECUTING BROKER AND THE CUSTOMER NAMED HEREIN THAT:

1. TD Ameritrade Clearing, Inc., member of FINRA/SIPC acts as the clearing agent.
2. This confirmation is a transaction advice, not an invoice. Remittance of funds or securities is due on or before settlement date. Certain security information is collected at the time the confirmation is generated and may vary from the information at the time the trade was placed.
3. You will report any errors immediately and/or notify us if not entirely in accordance with your understanding.
4. All transactions are subject to the rules, regulations, requirements (including margin requirements) and customs of the Federal Reserve Board, the Securities and Exchange Commission, the Exchange or market (and its clearing house, if any) where executed, and of any association whose rules and regulations govern transactions in said market, and to all the terms of our general customer's agreements between you and us. Wisconsin customers' agency trades are unsolicited.
5. The securities described are, or may be hypothecated under circumstances which will permit the commingling thereof with securities carried for the account of the other customers. Such commingling, if any, ceases upon payment by you for these securities in the net amount due.
6. The name of the other party or broker in the transaction, the date, the time of execution, source and amount of remuneration will be furnished upon request, if not already displayed.
7. If this transaction is a sale and the securities are not already in our possession and do not represent a short sale, please forward them immediately to avoid possible payment of premium on securities borrowed.
8. If payment is not made on or prior to the date required by Regulation T of the Federal Reserve Board, we reserve the right without further notice, to cancel this transaction, or at our option, to sell the securities covered hereby and hold you liable for resulting loss.
9. Commission/Fee represents base commission and any options contract, exercise, and assignment fees. For Alternative Investments (AI), also called Non Standard Assets (NSA), Commission/Fee represents transaction fees applicable to purchases, capital call processing, and redemptions. TD Ameritrade will charge a Reg Fee on certain transactions. These charges are typically based on fees assessed under various regulations applicable to transactions. It may include any of the following: a sales fee on certain sell transactions (assessed at a rate consistent with Section 31 of the Securities Exchange Act of 1934), the Options Regulatory Fee (applicable to options transactions), and the Trading Activity Fee (assessed at a rate consistent with Section 1 of Schedule A of FINRA's By-Laws), among other charges. Transactions in foreign securities (including foreign company ADRs that trade in the U.S.) may include taxes and fees charged by the foreign markets or governments, which may be reflected in the price of the security or charged as an independent line item on your monthly statement.
10. Misc. Fees will be explained upon request. For transactions involving certain Index Options, TD Ameritrade may charge an Index Option Fee in accordance with the Chicago Board Options Exchange (CBOE) Global Markets, Inc. fee schedule. This fee will be reflected in the Misc. Fee field. A copy of the CBOE Options Exchange Fee Schedule can also be found on www.cboe.com. Other Misc. Fees may include Prime Broker fees or Special Settlement fees, where applicable.
11. If the transaction involves a debt security that is subject to call or redemption before maturity, the debt security may be called or redeemed in whole or in part before maturity which could affect its yield. Additional information is available upon request. On zero coupon, interest and multiplier securities, no periodic payment of interest or principal are generally made. These securities may be callable, below maturity value, without notice by mail to holder unless the securities are held in registered form. On asset backed debt securities, yields are subject to fluctuation depending on prepayment, specific information is available upon request. For inflation indexed securities yield to maturity may vary due to inflation adjustments.
12. The firm receives compensation for directing listed and over-the-counter securities, and options order flow to selected market centers for execution. The source and nature of payment received in connection with a particular transaction will be furnished upon request.
13. Leveraged and Inverse ETFs entail unique risks, including but not limited to: use of leverage, aggressive and complex investment techniques; and use of derivatives. Leveraged ETFs seek to deliver multiples of the performance of a benchmark. Inverse ETFs seek to deliver the opposite of the performance of a benchmark. Both seek results over periods as short as a single day. Results of both strategies can be affected substantially by compounding. Returns over longer periods will likely differ in amount and even direction. These products require active monitoring and management, as frequently as daily. They are not suitable for all investors.
14. Bonds and/or fixed-income securities trade differently than equity securities and do not trade on a listed exchange. Rather, they trade in the OTC (over the counter) marketplace and liquidity may not always exist for you to sell your position prior to maturity.
15. If you request the sale of a nonmarketable or worthless security, TD Ameritrade will be the buyer in the transaction in the event a market cannot be located. In such a sale, you are deeming the security worthless and TD Ameritrade thereby makes no representation regarding the present or future value of these securities. The transaction is irrevocable and you will have no further claim to the securities and no claim against TD Ameritrade for any losses related to the sale.
16. To access the Official Statement (e.g., prospectus, program disclosure document, information statement, etc.) related to Municipal Bonds, Government Sponsored Enterprise Debt, or Federal Agency Securities, please visit the applicable website and enter the CUSIP: Federal Farm Credit Bank (FFCB): www.farmcredit-fcb.com, Federal Home Loan Bank (FHLB): www.fhlb-of.com, Federal Home Loan Mortgage Corporation (Freddie Mac): www.freddiemac.com, Federal National Mortgage Association (Fannie Mae): www.fanniemae.com, Government National Mortgage Association: www.gnima.gov, Municipal Bonds: www.muni.org. A copy of the Official Statement can be requested by calling 800-699-3900.
17. The security that you purchased may have a minimum denomination set by the issuer, which may reduce the number of investors willing to purchase the security and, therefore, adversely impact the price that you receive for the security. The quantity of securities you purchased is below the minimum denomination and may adversely affect the liquidity of the position unless you have other securities from the issuer that can be combined to reach the minimum denomination.



PO BOX 2577 - OMAHA NE 68103-2577

Please do not send deposits or correspondence to this address.

TD AMERITRADE, INC.

PO BOX 2209

OMAHA NE 68103-2209

DANIEL R JOHNSON
7406 ROOSES DR
INDIANAPOLIS IN 46217-5489



Confirmation Notice

| ACCOUNT NO. | OTHER INFO | TRANSACTION NUMBER | CAP CODES | YOUR REPRESENTATIVE | | |
|--|------------|--------------------|-----------|---------------------|------------|----------|
| 234-189249-2 | | 40324579695 | A | TD AMERITRADE | | |
| ACTIVITY | QUANTITY | CUSIP NUMBER | PRICE | PRINCIPAL AMOUNT | MISC. FEES | REG FEES |
| YOU BOUGHT | 100 | 59134N104 | 1.7181 | 171.81 | | 0.00 |
| AS OF TRADE DATE | TRADE DATE | SETTLEMENT DATE | INTEREST | COMMISSION/FEE | NET AMOUNT | |
| | 01/26/2022 | 01/28/2022 | | 0.00 | 171.81 | |
| TRADE DESCRIPTION | | | SYMBOL | | | |
| META MATERIALS INC COM | | | MMAT | | | |
| THIS IS AN UNSOLICITED TRADE FOR FURTHER INFORMATION REGARDING YOUR TRADE CONFIRMATION PLEASE REFER TO THE TERMS AND CONDITIONS. *CLBL - If the above security description includes a 'CLBL' notation, this transaction involves a debt security that is subject to call or redemption before maturity. The debt security may be called or redeemed in whole or in part before maturity which could affect its yield. Additional information is available upon request. | | | | | | |

| ACCOUNT NO. | OTHER INFO | TRANSACTION NUMBER | CAP CODES | YOUR REPRESENTATIVE | | |
|--|-------------|--------------------|-----------|---------------------|------------|----------|
| 234-189249-2 | | 40324604735 | A | TD AMERITRADE | | |
| ACTIVITY | QUANTITY | CUSIP NUMBER | PRICE | PRINCIPAL AMOUNT | MISC. FEES | REG FEES |
| YOU BOUGHT | 200 | 59134N203 | 1.27 | 254.00 | | 0.00 |
| AS OF TRADE DATE | TRADE DATE | SETTLEMENT DATE | INTEREST | COMMISSION/FEE | NET AMOUNT | |
| | .01/26/2022 | 01/28/2022 | | 6.95 | 260.95 | |
| TRADE DESCRIPTION | | | SYMBOL | | | |
| META MATERIALS INC PFD SER A *CLBL | | | MMTLP | | | |
| THIS IS AN UNSOLICITED TRADE FOR FURTHER INFORMATION REGARDING YOUR TRADE CONFIRMATION PLEASE REFER TO THE TERMS AND CONDITIONS. *CLBL - If the above security description includes a 'CLBL' notation, this transaction involves a debt security that is subject to call or redemption before maturity. The debt security may be called or redeemed in whole or in part before maturity which could affect its yield. Additional information is available upon request. | | | | | | |

Please do not send deposits or correspondence to this address.

OMAHA NE 68103-2209



SIPC
Member of the SIF Group

| ACCOUNT NO. | OTHER INFO | TRANSACTION NUMBER | CAP CODES | YOUR REPRESENTATIVE | | |
|--|------------|--------------------|-----------|---------------------|------------|----------|
| 234-189249-1 | | 44800523658 | A | TD AMERITRADE | | |
| ACTIVITY | QUANTITY | CUSIP NUMBER | PRICE | PRINCIPAL AMOUNT | MISC. FEES | REG FEES |
| YOU SOLD | 4 | | .07 | 28.00 | 0 | 0.05 |
| AS OF TRADE DATE | TRADE DATE | SETTLEMENT DATE | INTEREST | COMMISSION/FEE | NET AMOUNT | |
| | 08/31/2022 | 09/01/2022 | | 2.60 | 25.35 | |
| TRADE DESCRIPTION | | | SYMBOL | | | |
| <p>GAMESTOP CORPORATION GME Sep 02 22 34.0 C</p> <p>OPENING TRANSACTION THIS IS AN UNSOLICITED TRADE FOR FURTHER INFORMATION REGARDING YOUR TRADE CONFIRMATION PLEASE REFER TO THE TERMS AND CONDITIONS.</p> <p>*CLBL - If the above security description includes a 'CLBL' notation, this transaction involves a debt security that is subject to call or redemption before maturity. The debt security may be called or redeemed in whole or in part before maturity which could affect its yield. Additional information is available upon request.</p> | | | | | | |

| ACCOUNT NO. | OTHER INFO | TRANSACTION NUMBER | CAP CODES | YOUR REPRESENTATIVE | | |
|--|------------|--------------------|-----------|---------------------|------------|----------|
| 234-189249-1 | | 44800532491 | A | TD AMERITRADE | | |
| ACTIVITY | QUANTITY | CUSIP NUMBER | PRICE | PRINCIPAL AMOUNT | MISC. FEES | REG FEES |
| YOU SOLD | 4 | | .01 | 4.00 | | 0.05 |
| AS OF TRADE DATE | TRADE DATE | SETTLEMENT DATE | INTEREST | COMMISSION/FEE | NET AMOUNT | |
| | 08/31/2022 | 09/01/2022 | | 2.60 | 1.35 | |
| TRADE DESCRIPTION | | | SYMBOL | | | |
| <p>META MATERIALS INC</p> <p>MMAT Sep 16 22 1.5 C</p> <p>OPENING TRANSACTION</p> <p>THIS IS AN UNSOLICITED TRADE</p> <p>FOR FURTHER INFORMATION REGARDING YOUR TRADE CONFIRMATION</p> <p>PLEASE REFER TO THE TERMS AND CONDITIONS.</p> <p>*CLBL - If the above security description includes a 'CLBL' notation, this transaction involves a debt security that is subject to call or redemption before maturity. The debt security may be called or redeemed in whole or in part before maturity which could affect its yield. Additional information is available upon request.</p> | | | | | | |

| ACCOUNT NO. | | OTHER INFO | TRANSACTION NUMBER | | CAP CODES | YOUR REPRESENTATIVE | |
|--|------------|-----------------|--------------------|------------------|-----------|---------------------|----------|
| 234-189249-1 | | | 45684038881 | | A | TD AMERITRADE | |
| ACTIVITY | QUANTITY | CUSIP NUMBER | PRICE | PRINCIPAL AMOUNT | | MISC. FEES | REG FEES |
| YOU BOUGHT | 160 | 59134N104 | .7933 | 126.93 | | | 0.00 |
| AS OF TRADE DATE | TRADE DATE | SETTLEMENT DATE | INTEREST | COMMISSION/FEE | | NET AMOUNT | |
| | 10/11/2022 | 10/13/2022 | | 0.00 | | 126.93 | |
| TRADE DESCRIPTION | | | SYMBOL | | | | |
| META MATERIALS INC COM | | | MMAT | | | | |
| THIS IS AN UNSOLICITED TRADE FOR FURTHER INFORMATION REGARDING YOUR TRADE CONFIRMATION PLEASE REFER TO THE TERMS AND CONDITIONS. *CLBL - If the above security description includes a 'CLBL' notation, this transaction involves a debt security that is subject to call or redemption before maturity. The debt security may be called or redeemed in whole or in part before maturity which could affect its yield. Additional information is available upon request. | | | | | | | |



Confirmation Notice
Account 234-189249
Page 2 of 2

| ACCOUNT NO. | OTHER INFO | TRANSACTION NUMBER | CAP CODES | YOUR REPRESENTATIVE | | |
|--|------------|--------------------|-----------|---------------------|------------|----------|
| 234-189249-1 | | 44800541227 | A | TD AMERITRADE | | |
| ACTIVITY | QUANTITY | CUSIP NUMBER | PRICE | PRINCIPAL AMOUNT | MISC. FEES | REG FEES |
| YOU SOLD | 2 | | .16 | 32.00 | | 0.02 |
| AS OF TRADE DATE | TRADE DATE | SETTLEMENT DATE | INTEREST | COMMISSION/FEE | NET AMOUNT | |
| | 08/31/2022 | 09/01/2022 | | 1.30 | 30.68 | |
| TRADE DESCRIPTION | | | SYMBOL | | | |
| AMC ENTERTAINMENT HOLDINGS INC AMC Sep 02 22 9.5 C OPENING TRANSACTION THIS IS AN UNSOLICITED TRADE FOR FURTHER INFORMATION REGARDING YOUR TRADE CONFIRMATION PLEASE REFER TO THE TERMS AND CONDITIONS. *CLBL - If the above security description includes a 'CLBL' notation, this transaction involves a debt security that is subject to call or redemption before maturity. The debt security may be called or redeemed in whole or in part before maturity which could affect its yield. Additional information is available upon request. | | | | | | |

| ACCOUNT CODE: Type of Account | CAPACITY CODE: |
|--|---|
| 1. Cash 2. Margin Long 3. Margin Short 4. Income 5. Withholding 6. Special | A= Agent C= Cross Trade P= Principal R= Riskless Principal Prime Broker if provided (contact the executing broker for further details) 3= Agent for person other than customer 4= Agent for both customer and another person 5= Principal for some executions and agent for other 6= Acts as both principal and agent 7= Acts as principal, agent and agent for another person 8= Crossing as agent 9= Crossing as principal |
| <p>IT IS AGREED BETWEEN THE EXECUTING BROKER AND THE CUSTOMER NAMED HEREIN THAT:</p> <ol style="list-style-type: none"> 1. TD Ameritrade Clearing, Inc., member of FINRA/SIPC acts as the clearing agent. 2. This confirmation is a transaction advice, not an invoice. Remittance of funds or securities is due on or before settlement date. Certain security information is collected at the time the confirmation is generated and may vary from the information at the time the trade was placed. 3. You will report any errors immediately and/or notify us if not entirely in accordance with your understanding. 4. All transactions are subject to the rules, regulations, requirements (including margin requirements) and customs of the Federal Reserve Board, the Securities and Exchange Commission, the Exchange or market (and its clearing house, if any) where executed, and of any association whose rules and regulations govern transactions in said market, and to all the terms of our general customer's agreements between you and us. With certain customer's agency trades are unsolicited. 5. The securities described are, or may be hypothecated under circumstances which will permit the commingling thereof with securities carried for the account of the other customers. Such commingling, if any, ceases upon payment by you for these securities in the net amount due. 6. The name of the other party or broker in the transaction, the date, the time of execution, source and amount of remuneration will be furnished upon request, if not already displayed. 7. If this transaction is a sale and the securities are not already in our possession and do not represent a short sale, please forward them immediately to avoid possible payment of premium on securities borrowed. 8. If payment is not made on or prior to the date required by Regulation T of the Federal Reserve Board, we reserve the right without further notice, to cancel this transaction, or at our option, to sell the securities covered hereby and hold you liable for resulting loss. 9. Commission/Fee represents base commission and any options contract, exercise, and assignment fees. For Alternative Investments (AI), also called Non Standard Assets (NSA), Commission/Fee represents transaction fees applicable to purchases, capital call processing, and redemptions. TD Ameritrade will charge a Reg Fee on certain transactions. These charges are typically based on fees assessed under various regulations applicable to transactions. It may include any of the following: a sales fee on certain self transactions (assessed at a rate consistent with Section 31 of the Securities Exchange Act of 1934); the Options Regulatory Fee (applicable to options transactions), and the Trading Activity Fee (assessed at a rate consistent with Section 1 of Schedule A of FINRA's By-Laws), among other charges. Transactions in foreign securities (including foreign company ADRs that trade in the U.S.) may include taxes and fees charged by the foreign markets or governments, which may be reflected in the price of the security or charged as an independent line item on your monthly statement. 10. Misc. Fees will be explained upon request. For transactions involving certain Index Options, TD Ameritrade may charge an Index Option Fee in accordance with the Chicago Board Options Exchange (CBOE) Global Markets, Inc. fee schedule. This fee will be reflected in the Misc. Fee field. A copy of the CBOE Options Exchange Fee Schedule can also be found on www.cboe.com. Other Misc. Fees may include Prime Broker fees or Special Settlement fees, where applicable. 11. If this transaction involves a debt security that is subject to call or redemption before maturity, the debt security may be called or redeemed in whole or in part before maturity which could affect its yield. Additional information is available upon request. On zero coupons, interest and multiplier securities, no periodic payment of interest or principal are generally made. These securities may be callable, below maturity value, without notice by mail to holder unless the securities are held in registered form. On asset backed debt securities, yields are subject to fluctuation depending on prepayment, specific information is available upon request. For inflation indexed securities yield to maturity may vary due to inflation adjustments. 12. The firm receives compensation for directing listed and over-the-counter securities, and options order flow to selected market centers for execution. The source and nature of payment received in connection with a particular transaction will be furnished upon request. 13. Leveraged and inverse ETFs entail unique risks, including but not limited to: use of leverage, aggressive and complex investment techniques; and use of derivatives. Leveraged ETFs seek to deliver multiples of the performance of a benchmark. Inverse ETFs seek to deliver the opposite of the performance of a benchmark. Both seek results over periods as short as a single day. Results of both strategies can be affected substantially by compounding. Returns over longer periods will likely differ in amount and even direction. These products require active monitoring and management, as frequently as daily. They are not suitable for all investors. 14. Bonds and/or fixed-income securities trade differently than equity securities and do not trade on a listed exchange. Rather, they trade in the OTC (over the counter) marketplace and liquidity may not always exist for you to sell your position prior to maturity. 15. If you request the sale of a nonmarketable or worthless security, TD Ameritrade will be the buyer in the transaction in the event a market cannot be located. In such a sale, you are deeming the security worthless and TD Ameritrade thereby makes no representation regarding the present or future value of these securities. The transaction is irreversible and you will have no further claim to the securities and no claim against TD Ameritrade for any losses related to the sale. 16. To access the Official Statement (e.g., prospectus, program disclosure document, information statement, etc.) related to Municipal Bonds, Government Sponsored Enterprise Debt, or Federal Agency Securities, please visit the applicable website and enter the CUSIP: Federal Farm Credit Bank (FFCB): www.farmcredit.fcb.com; Federal Home Loan Bank (FHLB): www.fhlb-ol.com; Federal Home Loan Mortgage Corporation (Freddie Mac): www.freddiemac.com; Federal National Mortgage Association (Freddie Mac): www.fanniemae.com; Government National Mortgage Association: www.ginnie Mae.gov; Municipal Bonds: www.enrma.msb.org. A copy of the Official Statement can be requested by calling 800-699-3900. 17. The security that you purchased may have a minimum denomination set by the issuer, which may reduce the number of investors willing to purchase the security and, therefore, adversely impact the price that you receive for the security. The quantity of securities you purchased is below the minimum denomination and may adversely affect the liquidity of the position unless you have other securities from the issue that can be combined to reach the minimum denomination. | |

Please do not send deposits or correspondence to this address.

DANIEL R JOHNSON
7406 ROOSES DR
INDIANAPOLIS IN 46217-5489



| ACCOUNT NO. | OTHER INFO | TRANSACTION NUMBER | CAP CODES | YOUR REPRESENTATIVE | | |
|--|------------|--------------------|-----------|---------------------|------------|----------|
| 234-189249-1 | | 46544885160 | A | TD AMERITRADE | | |
| ACTIVITY | QUANTITY | CUSIP NUMBER | PRICE | PRINCIPAL AMOUNT | MISC. FEES | REG FEES |
| YOU SOLD | 400 | 36467W109 | 25.90 | 10,360.00 | 0 | 0.29 |
| AS OF TRADE DATE | TRADE DATE | SETTLEMENT DATE | INTEREST | COMMISSION/FEE | NET AMOUNT | |
| | 11/28/2022 | 11/30/2022 | | 0.00 | 10,359.71 | |
| TRADE DESCRIPTION | SYMBOL | | | | | |
| GAMESTOP CORPORATION COM CL A | GME | | | | | |
| <p>CONFIRMATION OF TAX LOT METHOD AVAILABLE VIA GAIN/LOSS PAGE. THIS IS AN UNSOLICITED TRADE FOR FURTHER INFORMATION REGARDING YOUR TRADE CONFIRMATION PLEASE REFER TO THE TERMS AND CONDITIONS.</p> <p>*CLBL - If the above security description includes a 'CLBL' notation, this transaction involves a debt security that is subject to call or redemption before maturity. The debt security may be called or redeemed in whole or in part before maturity which could affect its yield. Additional information is available upon request.</p> | | | | | | |

| ACCOUNT NO. | OTHER INFO | TRANSACTION NUMBER | CAP CODES | YOUR REPRESENTATIVE | | |
|--|------------|--------------------|-----------|---------------------|------------|----------|
| 234-189249-1 | | 46545536314 | A | TD AMERITRADE | | |
| ACTIVITY | QUANTITY | CUSIP NUMBER | PRICE | PRINCIPAL AMOUNT | MISC. FEES | REG FEES |
| YOU BOUGHT | 3,600 | 59134N104 | 1.8397 | 6,622.92 | | 0.00 |
| AS OF TRADE DATE | TRADE DATE | SETTLEMENT DATE | INTEREST | COMMISSION/FEE | NET AMOUNT | |
| | 11/28/2022 | 11/30/2022 | | 0.00 | 6,622.92 | |
| TRADE DESCRIPTION | | | SYMBOL | | | |
| META MATERIALS INC COM | | | MMAT | | | |
| THIS IS AN UNSOLICITED TRADE FOR FURTHER INFORMATION REGARDING YOUR TRADE CONFIRMATION PLEASE REFER TO THE TERMS AND CONDITIONS. *CLBL - If the above security description includes a 'CLBL' notation, this transaction involves a debt security that is subject to call or redemption before maturity. The debt security may be called or redeemed in whole or in part before maturity which could affect its yield. Additional information is available upon request. | | | | | | |

Please do not send deposits or correspondence to this address.

TD AMERITRADE, INC.
PO BOX 2209
OMAHA NE 68103-2209

DANIEL R JOHNSON
7406 ROOSES DR
INDIANAPOLIS IN 46217-5489



Confirmation Notice

| ACCOUNT NO. | OTHER INFO. | TRANSACTION NUMBER | CAP CODES | YOUR REPRESENTATIVE | | |
|--|-------------|--------------------|-----------|---------------------|------------|----------|
| 234-189249-1 | | 44531058226 | A | TD AMERITRADE | | |
| ACTIVITY | QUANTITY | CUSIP NUMBER | PRICE | PRINCIPAL AMOUNT | MISC. FEES | REG FEES |
| YOU SOLD | 4 | | .61 | 244.00 | 0 | 0.06 |
| AS OF TRADE DATE | TRADE DATE | SETTLEMENT DATE | INTEREST | COMMISSION/FEE | NET AMOUNT | |
| | 08/16/2022 | 08/17/2022 | | 2.60 | 241.34 | |
| TRADE DESCRIPTION | | | SYMBOL | | | |
| <p>GAMESTOP CORPORATION GME Aug 19 22 42.0 C</p> <p>OPENING TRANSACTION THIS IS AN UNSOLICITED TRADE FOR FURTHER INFORMATION REGARDING YOUR TRADE CONFIRMATION PLEASE REFER TO THE TERMS AND CONDITIONS.</p> <p>*CLBL - If the above security description includes a 'CLBL' notation, this transaction involves a debt security that is subject to call or redemption before maturity. The debt security may be called or redeemed in whole or in part before maturity which could affect its yield. Additional information is available upon request.</p> | | | | | | |

| ACCOUNT NO. | OTHER INFO | TRANSACTION NUMBER | CAP CODES | YOUR REPRESENTATIVE | | |
|---|------------|--------------------|-----------|---------------------|------------|----------|
| 234-189249-1 | | 44531250292 | A | TD AMERITRADE | | |
| ACTIVITY | QUANTITY | CUSIP NUMBER | PRICE | PRINCIPAL AMOUNT | MISC. FEES | REG FEES |
| YOU SOLD | 2 | | .98 | 196.00 | | 0.02 |
| AS OF TRADE DATE | TRADE DATE | SETTLEMENT DATE | INTEREST | COMMISSION/FEE | NET AMOUNT | |
| | 08/16/2022 | 08/17/2022 | | 1.30 | 194.68 | |
| TRADE DESCRIPTION | | | SYMBOL | | | |
| AMC ENTERTAINMENT HOLDINGS INC AMC Aug 19 22 27.0 C OPENING TRANSACTION THIS IS AN UNSOLICITED TRADE FOR FURTHER INFORMATION REGARDING YOUR TRADE CONFIRMATION PLEASE REFER TO THE TERMS AND CONDITIONS. *CLBL - If the above security description includes a 'CLBL' notation, this transaction involves a debt security that is subject to call or redemption before maturity. The debt security may be called or redeemed in whole or in part before maturity which could affect its yield. Additional information is available upon request. | | | | | | |



Confirmation Notice
Account 234-189249
Page 2 of 2

| ACCOUNT NO. | OTHER INFO | TRANSACTION NUMBER | CAP CODES | YOUR REPRESENTATIVE | | |
|---|------------|--------------------|-----------|---------------------|------------|----------|
| 234-189249-1 | | 44531918724 | A | TD AMERITRADE | | |
| ACTIVITY | QUANTITY | CUSIP NUMBER | PRICE | PRINCIPAL AMOUNT | MISC. FEES | REG FEES |
| YOU SOLD | 4 | | .02 | 8.00 | | 0.05 |
| AS OF TRADE DATE | TRADE DATE | SETTLEMENT DATE | INTEREST | COMMISSION/FEE | NET AMOUNT | |
| | 08/16/2022 | 08/17/2022 | | 2.60 | 5.35 | |
| TRADE DESCRIPTION | | | SYMBOL | | | |
| META MATERIALS INC MMAT Aug 19 22 1.0 C OPENING TRANSACTION THIS IS AN UNSOLICITED TRADE FOR FURTHER INFORMATION REGARDING YOUR TRADE CONFIRMATION PLEASE REFER TO THE TERMS AND CONDITIONS. *CLBL - If the above security description includes a 'CLBL' notation, this transaction involves a debt security that is subject to call or redemption before maturity. The debt security may be called or redeemed in whole or in part before maturity which could affect its yield. Additional information is available upon request. | | | | | | |

| ACCOUNT NO. | OTHER INFO | TRANSACTION NUMBER | CAP CODES | YOUR REPRESENTATIVE | | |
|---|------------|--------------------|-----------|---------------------|------------|----------|
| 234-189249-1 | | 44532572857 | A | TD AMERITRADE | | |
| ACTIVITY | QUANTITY | CUSIP NUMBER | PRICE | PRINCIPAL AMOUNT | MISC. FEES | REG FEES |
| YOU SOLD | 1 | | .03 | 3.00 | | 0.01 |
| AS OF TRADE DATE | TRADE DATE | SETTLEMENT DATE | INTEREST | COMMISSION/FEE | NET AMOUNT | |
| | 08/16/2022 | 08/17/2022 | | 0.65 | 2.34 | |
| TRADE DESCRIPTION | | | SYMBOL | | | |
| OCUGEN INC OCGN Aug 19 22 3.0 C OPENING TRANSACTION THIS IS AN UNSOLICITED TRADE FOR FURTHER INFORMATION REGARDING YOUR TRADE CONFIRMATION PLEASE REFER TO THE TERMS AND CONDITIONS. *CLBL - If the above security description includes a 'CLBL' notation, this transaction involves a debt security that is subject to call or redemption before maturity. The debt security may be called or redeemed in whole or in part before maturity which could affect its yield. Additional information is available upon request. | | | | | | |

| ACCOUNT CODE: Type of Account | CAPACITY CODE: | |
|---|--|--|
| 1. Cash 2. Margin Long 3. Margin Short 4. Income 5. Withholding 6. Special | A= Agent C= Cross Trade P= Principal R= Riskless Principal Prime Broker if provided (contact the executing broker for further details) 3= Agent for person other than customer 6= Acts as both principal and agent 9= Crossing as principal | 5= Principal for some executions and agent for other 8= Crossing as agent |

IT IS AGREED BETWEEN THE EXECUTING BROKER AND THE CUSTOMER NAMED HEREIN THAT:

1. TD Ameritrade Clearing, Inc., member of FINRA/SIPC acts as the clearing agent.
2. This confirmation is a transaction advice, not an invoice. Remittance of funds or securities is due on or before settlement date. Certain security information is collected at the time the confirmation is generated and may vary from the information at the time the trade was placed.
3. You will report any errors immediately and/or notify us if not entirely in accordance with your understanding.
4. All transactions are subject to the rules, regulations, requirements (including margin requirements) and customs of the Federal Reserve Board, the Securities and Exchange Commission, the Exchange or market (and its clearing house, if any) where executed, and of any association whose rules and regulations govern transactions in said market, and to all the terms of our general customer's agreements between you and us. Wisconsin customers' agency trades are unsolicited.
5. The securities described are, or may be hypothecated under circumstances which will permit the commingling thereof with securities carried for the account of the other customers. Such commingling, if any, ceases upon payment by you for these securities in the net amount due.
6. The name of the other party or broker in the transaction, the date, the time of execution, source and amount of remuneration will be furnished upon request, if not already displayed.
7. If this transaction is a sale and the securities are not already in our possession and do not represent a short sale, please forward them immediately to avoid possible payment of premium on securities borrowed.
8. If payment is not made on or prior to the date required by Regulation T of the Federal Reserve Board, we reserve the right without further notice, to cancel this transaction, or at our option, to sell the securities covered hereby and hold you liable for resulting loss.
9. Commission/Fee represents base commission and any options contract, exercise, and assignment fees. For Alternative Investments (AI), also called Non Standard Assets (NSA), Commission/Fee represents transaction fees applicable to purchases, capital call processing, and redemptions. TD Ameritrade will charge a Reg Fee on certain transactions. These charges are typically based on fees assessed under various regulations applicable to transactions. It may include any of the following: a sales fee on certain sell transactions (assessed at a rate consistent with Section 31 of the Securities Exchange Act of 1934); the Options Regulatory Fee (applicable to options transactions); and the Trading Activity Fee (assessed at a rate consistent with Section 1 of Schedule A of FINRA's By-Laws), among other charges. Transactions in foreign securities (including foreign company ADRs that trade in the U.S.) may include taxes and fees charged by the foreign markets or governments, which may be reflected in the price of the security or charged as an independent line item on your monthly statement.
10. Misc. Fees will be explained upon request. For transactions involving certain Index Options, TD Ameritrade may charge an Index Option Fee in accordance with the Chicago Board Options Exchange (CBOE) Global Markets, Inc. fee schedule.
11. If this transaction involves a debt security that is subject to call or redemption before maturity, the debt security may be called or redeemed in whole or in part before maturity which could affect its yield. Additional information is available upon request. On zero coupon, interest and multiplier securities, no periodic payment of interest or principal are generally made. These securities may be callable, below maturity value, without notice by mail to holder unless the securities are held in registered form. On asset backed debt securities, yields are subject to fluctuation depending on prepayment, specific information is available upon request. For inflation indexed securities yield to maturity may vary due to inflation adjustments.
12. The firm receives compensation for directing listed and over-the-counter securities, and options order flow to selected market centers for execution. The source and nature of payment received in connection with a particular transaction will be furnished upon request.
13. Leveraged and Inverse ETFs entail unique risks, including but not limited to: use of leverage; aggressive and complex investment techniques; and use of derivatives. Leveraged ETFs seek to deliver multiples of the performance of a benchmark. Inverse ETFs seek to deliver the opposite of the performance of a benchmark. Both seek results over periods as short as a single day. Results of both strategies can be affected substantially by compounding. Returns over longer periods will likely differ in amount and even direction. These products require active monitoring and management, as frequently as daily. They are not suitable for all investors.
14. Bonds and/or fixed-income securities trade differently than equity securities and do not trade on a listed exchange. Rather, they trade in the OTC (over the counter) marketplace and liquidity may not always exist for you to sell your position prior to maturity.
15. If you request the sale of a nonmarketable or worthless security, TD Ameritrade will be the buyer in the transaction in the event a market cannot be located. In such a sale, you are deeming the security worthless and TD Ameritrade thereby makes no representation regarding the present or future value of those securities. The transaction is irreversible and you will have no further claim to the securities and no claim against TD Ameritrade for any losses related to the sale.
16. To access the Official Statement (e.g., prospectus, program disclosure document, information statement, etc.) related to Municipal Bonds, Government Sponsored Enterprise Debt, or Federal Agency Securities, please visit the applicable website and enter the CUSIP: Federal Farm Credit Bank (FFCB): www.farmcredit-fcb.com; Federal Home Loan Bank (FHLB): www.fhlb-of.com; Federal Home Loan Mortgage Corporation (Freddie Mac): www.freddiemac.com; Federal National Mortgage Association (Fannie Mae): www.fanniemae.com; Government National Mortgage Association: www.gnmimgov.com; Municipal Bonds: www.enrma.muni.org. A copy of the Official Statement can be requested by calling 800-669-3900.
17. The security that you purchased may have a minimum denomination set by the issuer, which may reduce the number of investors willing to purchase the security and, therefore, adversely impact the price that you receive for the security. The quantity of securities you purchased is below the minimum denomination and may adversely affect the liquidity of the position unless you have other securities from the issuer that can be combined to reach the minimum denomination.



Confirmation Notice
Account 234-189249
Page 2 of 2

| ACCOUNT NO. | | OTHER INFO | TRANSACTION NUMBER | | CAP CODES | YOUR REPRESENTATIVE | |
|--|----------|--------------|--------------------|------------------|----------------|---------------------|------------|
| 234-189249-1 | | | 46545536338 | | A | TD AMERITRADE | |
| ACTIVITY | QUANTITY | CUSIP NUMBER | PRICE | PRINCIPAL AMOUNT | | MISC. FEES | REG FEES |
| YOU BOUGHT | 1,400 | 59134N104 | 1.835 | 2,569.00 | | 0 | 0.00 |
| AS OF TRADE DATE | | TRADE DATE | SETTLEMENT DATE | INTEREST | COMMISSION/FEE | | NET AMOUNT |
| | | 11/28/2022 | 11/30/2022 | | 0.00 | | 2,569.00 |
| TRADE DESCRIPTION | | | | SYMBOL | | | |
| META MATERIALS INC COM | | | | MMAT | | | |
| THIS IS AN UNSOLICITED TRADE FOR FURTHER INFORMATION REGARDING YOUR TRADE CONFIRMATION PLEASE REFER TO THE TERMS AND CONDITIONS. *CLBL - If the above security description includes a 'CLBL' notation, this transaction involves a debt security that is subject to call or redemption before maturity. The debt security may be called or redeemed in whole or in part before maturity which could affect its yield. Additional information is available upon request. | | | | | | | |

| ACCOUNT CODE: Type of Account | CAPACITY CODE: |
|---|---|
| 1. Cash 2. Margin Long 3. Margin Short 4. Income 5. Withholding 6. Special | A* Agent C* Cross Trade P* Principal R* Riskless Principal Prime Broker (if provided contact the executing broker for further details) 3* Agent for person other than customer 4* Agent for both customer and another person 5* Principal for some executions and agent for other 6* Acts as both principal and agent 7* Acts as principal, agent and agent for another person 8* Crossing as agent 9* Crossing as principal |
| <p>IT IS AGREED BETWEEN THE EXECUTING BROKER AND THE CUSTOMER NAMED HEREIN THAT:</p> <ol style="list-style-type: none"> 1. TD Ameritrade Clearing, Inc., member of FINRA/SIPC acts as the clearing agent. 2. This confirmation is a transaction advice, not an invoice. Remittance of funds or securities is due on or before settlement date. Certain security information is collected at the time the confirmation is generated and may vary from the information at the time the trade was placed. 3. You will report any errors immediately and/or notify us if not entirely in accordance with your understanding. 4. All transactions are subject to the rules, regulations, requirements (including margin requirements) and customs of the Federal Reserve Board, the Securities and Exchange Commission, the Exchange or market (and its clearing house, if any) where executed, and of any association whose rules and regulations govern transactions in said market, and to all the terms of our general customer's agreements between you and us. Wisconsin customers' agency trades are unsolicited. 5. The securities described here, or may be hypothecated under circumstances which will permit the commingling thereof with securities carried for the account of the other customers. Such commingling, if any, ceases upon payment by you for these securities in the net amount due. 6. The name of the other party or broker in the transaction, the date, the time of execution, source and amount of remuneration will be furnished upon request, if not already displayed. 7. If this transaction is a sale and the securities are not already in our possession and do not represent a short sale, please forward them immediately to avoid possible payment of premium on securities borrowed. 8. If payment is not made on or prior to the date required by Regulation T of the Federal Reserve Board, we reserve the right without further notice, to cancel this transaction, or at our option, to sell the securities covered hereby and hold you liable for resulting loss. 9. Commission/Fee represents base commission and any options contract, exercise, and assignment fees. For Alternative Investments (AI), also called Non Standard Assets (NSA), Commission/Fee represents transaction fees applicable to purchases, capital call processing, and redemptions. TD Ameritrade will charge a Reg Fee on certain transactions. These charges are typically based on fees assessed under various regulations applicable to transactions. It may include any of the following: a sales fee on certain sell transactions (assessed at a rate consistent with Section 31 of the Securities Exchange Act of 1934), the Options Regulatory Fee (applicable to options transactions), and the Trading Activity Fee (assessed at a rate consistent with Section 1 of Schedule A of FINRA's By-Laws), among other charges. Transactions in foreign securities (including foreign company ADRs that trade in the U.S.) may include taxes and fees charged by the foreign markets or governments, which may be reflected in the price of the security or charged as an independent line item on your monthly statement. 10. Misc. Fees will be explained upon request. For transactions involving certain Index Options, TD Ameritrade may charge an Index Option Fee in accordance with the Chicago Board Options Exchange (CBOE) Global Markets, Inc. fee schedule. This fee will be reflected in the Misc. Fee field. A copy of the CBOE Options Exchange Fee Schedule can also be found on www.cboe.com. Other Misc. Fees may include Prime Broker fees or Special Settlement fees, where applicable. 11. If this transaction involves a debt security that is subject to call or redemption before maturity, the debt security may be called or redeemed in whole or in part before maturity which could affect its yield. Additional information is available upon request. On zero coupons, interest and multiplier securities, no periodic payment of interest or principal are generally made. These securities may be callable, below maturity value, without notice by mail to holder unless the securities are held in registered form. On asset backed debt securities, yields are subject to fluctuation depending on prepayment, specific information is available upon request. For inflation indexed securities yield to maturity may vary due to inflation adjustments. 12. The firm receives compensation for directing listed and over-the-counter securities, and options order flow to selected market centers for execution. The source and nature of payment received in connection with a particular transaction will be furnished upon request. 13. Leveraged and inverse ETFs entail unique risks, including but not limited to: use of leverage; aggressive and complex investment techniques; and use of derivatives. Leveraged ETFs seek to deliver multiples of the performance of a benchmark. Inverse ETFs seek to deliver the opposite of the performance of a benchmark. Both seek results over periods as short as a single day. Results of both strategies can be affected substantially by compounding. Returns over longer periods will likely differ in amount and even direction. These products require active monitoring and management, as frequently as daily. They are not suitable for all investors. 14. Bonds and/or fixed-income securities trade differently than equity securities and do not trade on a listed exchange. Rather, they trade in the OTC (over the counter) marketplace and liquidity may not always exist for you to sell your position prior to maturity. 15. If you request the sale of a nonmarketable or worthless security, TD Ameritrade will be the buyer in the transaction in the event a market cannot be located. In such a sale, you are deeming the security worthless and TD Ameritrade thereby makes no representation regarding the present or future value of these securities. The transaction is irreversible and you will have no further claim to the securities and no claim against TD Ameritrade for any losses related to the sale. 16. To access the Official Statement (e.g., prospectus, program disclosure document, information statement, etc.) related to Municipal Bonds, Government Sponsored Enterprise Debt, or Federal Agency Securities, please visit the applicable website and enter the CUSIP: Federal Farm Credit Bank (FFCB): www.farmcredit-fcb.com, Federal Home Loan Bank (FHLB): www.fhlb-of.com, Federal Home Loan Mortgage Corporation (Freddie Mac): www.freddiemac.com, Federal National Mortgage Association (Fannie Mae): www.fanniemae.com, Government National Mortgage Association: www.gnmiea.gov, Municipal Bonds: www.enima.muni.org. A copy of the Official Statement can be requested by calling 800-669-3900. 17. The security that you purchased may have a minimum denomination set by the issuer, which may reduce the number of investors willing to purchase the security and, therefore, adversely impact the price that you receive for the security. The quantity of securities you purchased is below the minimum denomination and may adversely affect the liquidity of the position unless you have other securities from the issue that can be combined to reach the minimum denomination. | |

Please do not send deposits or correspondence to this address.

OMAHA NE 68103-2209



SIPC
SECURITIES INVESTOR PROTECTION CORPORATION

| | | | | | | |
|--|------------|--------------------|-----------|---------------------|------------|----------|
| ACCOUNT NO. | OTHER INFO | TRANSACTION NUMBER | CAP CODES | YOUR REPRESENTATIVE | | |
| 234-189249-1 | | 49453672089 | A | TD AMERITRADE | | |
| ACTIVITY | QUANTITY | CUSIP NUMBER | PRICE | PRINCIPAL AMOUNT | MISC. FEES | REG FEES |
| YOU BOUGHT | 300 | 59134N104 | .2224 | 68.72 | | 0.00 |
| AS OF TRADE DATE | TRADE DATE | SETTLEMENT DATE | INTEREST | COMMISSION/FEE | NET AMOUNT | |
| | 04/14/2023 | 04/18/2023 | | 0.00 | 66.72 | |
| TRADE DESCRIPTION | | | SYMBOL | | | |
| METAL MATERIALS INC COM | | | MMAT | | | |
| THIS IS AN UNSOLICITED TRADE FOR FURTHER INFORMATION REGARDING YOUR TRADE CONFIRMATION PLEASE REFER TO THE TERMS AND CONDITIONS. *CLBL - If the above security description includes a 'CLBL' notation, this transaction involves a debt security that is subject to call or redemption before maturity. The debt security may be called or redeemed in whole or in part before maturity which could affect its yield. Additional information is available upon request. | | | | | | |



Ameritrade

PO BOX 2577 - OMAHA NE 68103-2577

Please do not send deposits or correspondence to this address.

TD AMERITRADE, INC.

PO BOX 2209

OMAHA NE 68103-2209

DANIEL R JOHNSON IRA
TD AMERITRADE CLEARING, CUSTODIAN
7406 ROOSES DR
INDIANAPOLIS IN 46217-5489



Confirmation Notice

| ACCOUNT NO. | OTHER INFO | TRANSACTION NUMBER | CAP CODES | YOUR REPRESENTATIVE | | |
|--|------------|--------------------|-----------|---------------------|------------|----------|
| 256-624795-1 | | 49935134534 | A | TD AMERITRADE | | |
| ACTIVITY | QUANTITY | CUSIP NUMBER | PRICE | PRINCIPAL AMOUNT | MISC. FEES | REG FEES |
| YOU BOUGHT | 4,000 | 59134N104 | .2224 | 889.60 | | 0.00 |
| AS OF TRADE DATE | TRADE DATE | SETTLEMENT DATE | INTEREST | COMMISSION/FEE | NET AMOUNT | |
| | 05/08/2023 | 05/10/2023 | | 0.00 | 889.60 | |
| TRADE DESCRIPTION | | | SYMBOL | | | |
| META MATERIALS INC COM | | | MMAT | | | |
| THIS IS AN UNSOLICITED TRADE FOR FURTHER INFORMATION REGARDING YOUR TRADE CONFIRMATION PLEASE REFER TO THE TERMS AND CONDITIONS. *CLBL - If the above security description includes a 'CLBL' notation, this transaction involves a debt security that is subject to call or redemption before maturity. The debt security may be called or redeemed in whole or in part before maturity which could affect its yield. Additional information is available upon request. | | | | | | |

| ACCOUNT NO. | OTHER INFO | TRANSACTION NUMBER | CAP CODES | YOUR REPRESENTATIVE | | |
|--|------------|--------------------|-----------|---------------------|------------|----------|
| 256-624795-1 | | 49935193621 | A | TD AMERITRADE | | |
| ACTIVITY | QUANTITY | CUSIP NUMBER | PRICE | PRINCIPAL AMOUNT | MISC. FEES | REG FEES |
| YOU BOUGHT | 1,000 | 37955R107 | 1.24 | 1,240.00 | | 0.00 |
| AS OF TRADE DATE | TRADE DATE | SETTLEMENT DATE | INTEREST | COMMISSION/FEE | NET AMOUNT | |
| | 05/08/2023 | 05/10/2023 | | 6.95 | 1,246.95 | |
| TRADE DESCRIPTION | | | SYMBOL | | | |
| GLOBAL TECH INDUSTRIES GRP INC COM | | | GTII | | | |
| <p>THIS IS AN UNSOLICITED TRADE</p> <p>FOR FURTHER INFORMATION REGARDING YOUR TRADE CONFIRMATION PLEASE REFER TO THE TERMS AND CONDITIONS.</p> <p>*CLBL - If the above security description includes a 'CLBL' notation, this transaction involves a debt security that is subject to call or redemption before maturity. The debt security may be called or redeemed in whole or in part before maturity which could affect its yield. Additional information is available upon request.</p> | | | | | | |

OMAHA NE 68103-2209

SIPC
Member

| ACCOUNT NO. | OTHER INFO | TRANSACTION NUMBER | CAP CODES | YOUR REPRESENTATIVE | | |
|---|------------|--------------------|-----------|---------------------|------------|----------|
| 256-624795-1 | | 50828223630 | A | TD AMERITRADE | | |
| ACTIVITY | QUANTITY | CUSIP NUMBER | PRICE | PRINCIPAL AMOUNT | MISC. FEES | REG FEES |
| YOU BOUGHT | 3,400 | 59134N104 | .2204 | 749.36 | | 0.00 |
| AS OF TRADE DATE | TRADE DATE | SETTLEMENT DATE | INTEREST | COMMISSION/FEE | NET AMOUNT | |
| | 06/20/2023 | 06/22/2023 | | 0.00 | 749.36 | |
| TRADE DESCRIPTION | | | SYMBOL | | | |
| META MATERIALS INC COM | | | MMAT | | | |
| <p>THIS IS AN UNSOLICITED TRADE FOR FURTHER INFORMATION REGARDING YOUR TRADE CONFIRMATION PLEASE REFER TO THE TERMS AND CONDITIONS.</p> <p>*CLBL - If the above security description includes a 'CLBL' notation, this transaction involves a debt security that is subject to call or redemption before maturity. The debt security may be called or redeemed in whole or in part before maturity which could affect its yield. Additional information is available upon request.</p> | | | | | | |



Confirmation Notice
Account 256-624795
 Page 2 of 2

| ACCOUNT CODE: Type of Account | | CAPACITY CODE: | |
|-------------------------------|----------------|---|--|
| 1. Cash | 2. Margin Long | A= Agent | C= Cross Trade |
| 3. Margin Short | 4. Income | P= Principal | R= Riskless Principal |
| 5. Withholding | 6. Special | Prime Broker if provided (contact the executing broker for further details) | |
| | | 3= Agent for person other than customer | 4= Agent for both customer and another person |
| | | 6= Acts as both principal and agent | 7= Acts as principal, agent and agent for another person |
| | | 9= Crossing as principal | 5= Principal for some executions and agent for other |
| | | | 8= Crossing as agent |

IT IS AGREED BETWEEN THE EXECUTING BROKER AND THE CUSTOMER NAMED HEREIN THAT:

1. TD Ameritrade Clearing, Inc., member of FINRA/SIPC acts as the clearing agent.
2. This confirmation is a transaction advice, not an invoice. Remittance of funds or securities is due on or before settlement date. Certain security information is collected at the time the confirmation is generated and may vary from the information at the time the trade was placed.
3. You will report any errors immediately and/or notify us if not entirely in accordance with your understanding.
4. All transactions are subject to the rules, regulations, requirements (including margin requirements) and customs of the Federal Reserve Board, the Securities and Exchange Commission, the Exchange or market (and its clearing house, if any) where executed, and of any association whose rules and regulations govern transactions in said market, and to all the terms of our general customer's agreements between you and us. Wisconsin customers' agency trades are uncollected.
5. The securities described are, or may be hypothecated under circumstances which will permit the commingling thereof with securities carried for the account of the other customers. Such commingling, if any, ceases upon payment by you for these securities in the net amount due.
6. The name of the other party or broker in the transaction, the date, the time of execution, source and amount of remuneration will be furnished upon request, if not already displayed.
7. If this transaction is a sale and the securities are not already in our possession and do not represent a short sale, please forward them immediately to avoid possible payment of premium on securities borrowed.
8. If payment is not made on or prior to the date required by Regulation T of the Federal Reserve Board, we reserve the right without further notice, to cancel this transaction, or at our option, to sell the securities covered hereby and hold you liable for resulting loss.
9. Commission/Fee represents base commission and any options contract, exercise, and assignment fees. For Alternative Investments (AI), also called Non Standard Assets (NSA), Commission/Fee represents transaction fees applicable to purchases, capital call processing, and redemptions. TD Ameritrade will charge a Reg Fee on certain transactions. These charges are typically based on fees assessed under various regulations applicable to transactions. It may include any of the following: a sales fee on certain sell transactions (assessed at a rate consistent with Section 31 of the Securities Exchange Act of 1934), the Options Regulatory Fee (applicable to options transactions), and the Trading Activity Fee (assessed at a rate consistent with Section 1 of Schedule A of FINRA's By-Laws), among other charges. Transactions in foreign securities (including foreign company ADRs that trade in the U.S.) may include taxes and fees charged by the foreign markets or governments, which may be reflected in the price of the security or charged as an independent line item on your monthly statement.
10. Misc. Fees will be explained upon request. For transactions involving certain Index Options, TD Ameritrade may charge an Index Option Fee in accordance with the Chicago Board Options Exchange (CBOE) Global Markets, Inc. fee schedule. This fee will be reflected in the Misc. Fee field. A copy of the CBOE Options Exchange Fee Schedule can also be found on www.cboe.com. Other Misc. Fees may include Prime Broker fees or Special Settlement fees, where applicable.
11. If this transaction involves a debt security that is subject to call or redemption before maturity, the debt security may be called or redeemed in whole or in part before maturity which could affect its yield. Additional information is available upon request. On zero coupons, interest and multiplier securities, no periodic payment of interest or principal are generally made. These securities may be callable, below maturity value, without notice by mail to holder unless the securities are held in registered form. On asset backed debt securities, yields are subject to fluctuation depending on prepayment, specific information is available upon request. For inflation indexed securities yield to maturity may vary due to inflation adjustments.
12. The firm receives compensation for directing listed and over-the-counter securities, and options order flow to selected market centers for execution. The source and nature of payment received in connection with a particular transaction will be furnished upon request.
13. Leveraged and Inverse ETFs entail unique risks, including but not limited to: use of leverage; aggressive and complex investment techniques; and use of derivatives. Leveraged ETFs seek to deliver multiples of the performance of a benchmark. Inverse ETFs seek to deliver the opposite of the performance of a benchmark. Both seek results over periods as short as a single day. Results of both strategies can be affected substantially by compounding. Returns over longer periods will likely differ in amount and even direction. These products require active monitoring and management, as frequently as daily. They are not suitable for all investors.
14. Bonds and/or fixed-income securities trade differently than equity securities and do not trade on a listed exchange. Rather, they trade in the OTC (over the counter) marketplace and liquidity may not always exist for you to sell your position prior to maturity.
15. If you request the sale of a nonmarketable or worthless security, TD Ameritrade will be the buyer in the transaction in the event a market cannot be located. In such a sale, you are deeming the security worthless and TD Ameritrade thereby makes no representation regarding the present or future value of these securities. The transaction is irreversible and you will have no further claim to the securities and no claim against TD Ameritrade for any losses related to the sale.
16. To access the Official Statement (e.g., prospectus, program disclosure document, information statement, etc.) related to Municipal Bonds, Government Sponsored Enterprise Debt, or Federal Agency Securities, please visit the applicable website and enter the CUSIP: Federal Farm Credit Bank (FFCB): www.farmcreditfcb.com, Federal Home Loan Bank (FHLB): www.fhlb-of.com, Federal Home Loan Mortgage Corporation (Freddie Mac): www.freddiemac.com, Federal National Mortgage Association (Fannie Mae): www.fanniemae.com, Government National Mortgage Association: www.gnrmbs.gov, Municipal Bonds: www.muni.org. A copy of the Official Statement can be requested by calling 800-859-0900.
17. The security that you purchased may have a minimum denomination set by the issuer, which may reduce the number of investors willing to purchase the security and, therefore, adversely impact the price that you receive for the security. The quantity of securities you purchased is below the minimum denomination and may adversely affect the liquidity of the position unless you have other securities from the issue that can be combined to reach the minimum denomination.



Contributory IRA of

DANIEL R JOHNSON
CHARLES SCHWAB & CO INC CUST
IRA CONTRIBUTORY

Statement Period

August 1-31, 2024

Transactions - Summary

| | | | | | | | | | | | | | | |
|-----------------------------|---|----------|---|-------------|---|-----------|---|-------------------|---|--------------------|---|----------|---|--------------------------|
| Beginning Cash* as of 08/01 | + | Deposits | + | Withdrawals | + | Purchases | + | Sales/Redemptions | + | Dividends/Interest | + | Expenses | = | Ending Cash* as of 08/31 |
| \$775.46 | | \$250.00 | | \$0.00 | | \$0.00 | | \$84.98 | | \$0.30 | | \$0.00 | | \$1,110.74 |

Other Activity **\$0.00**

Other activity includes transactions which don't affect the cash balance such as stock transfers, splits, etc.

*Cash (includes any cash debit balance) held in your account plus the value of any cash invested in a sweep money fund.

Transaction Details

| Date | Category | Action | Symbol/ CUSIP | Description | Quantity | Price/Rate per Share(\$) | Charges/ Interest(\$) | Amount(\$) | Realized Gain/(Loss)(\$) |
|---------------------------|----------|------------------------------|------------------|--|------------|-----------------------------|--------------------------|-----------------|-----------------------------|
| 08/13 | Sale | | MMAT | META MATLS INC Exchange Processing Fee \$0.02 | (100.0000) | 0.8500 | 0.02 | 84.98 | (3,336.48) ^(L) |
| 08/16 | Deposit | MoneyLink Txn | | Tfr JPMORGAN CHASE BAN, DANIEL R. JOHNSO | | | | 250.00 | |
| | Interest | Bank Interest ^{X,Z} | | BANK INT 071624-081524 | | | | 0.30 | |
| Total Transactions | | | | | | | | \$335.28 | \$(3,336.48) |

Date column represents the Settlement/Process date for each transaction.

Bank Sweep Activity

| Date | Description | Amount | Date | Description | Amount |
|-------|---|----------|-------|---|------------|
| 08/01 | Beginning Balance ^{X,Z} | \$775.46 | 08/16 | BANK CREDIT FROM BROKERAGE ^X | 250.00 |
| 08/13 | BANK CREDIT FROM BROKERAGE ^X | 84.98 | 08/31 | Ending Balance ^{X,Z} | \$1,110.74 |
| 08/15 | BANK INTEREST - TD BANK USA NA ^{X,Z} | 0.30 | 08/30 | Interest Rate ^{X,Z} | 0.45% |

^X Your interest period was 07/16/24 - 08/15/24. ^Z



Schwab One® Account of

DANIEL R JOHNSON

Statement Period

August 1-31, 2024

Transactions - Summary

| | | | | | | | | | | | | | | |
|-----------------------------|---|----------|---|-------------|---|-----------|---|-------------------|---|--------------------|---|----------|---|--------------------------|
| Beginning Cash* as of 08/01 | + | Deposits | + | Withdrawals | + | Purchases | + | Sales/Redemptions | + | Dividends/Interest | + | Expenses | = | Ending Cash* as of 08/31 |
| \$1,971.37 | | \$0.00 | | \$0.00 | | \$0.00 | | \$67.15 | | \$0.75 | | \$0.00 | | \$2,039.27 |

Other Activity \$0.00

Other activity includes transactions which don't affect the cash balance such as stock transfers, splits, etc.

*Cash (includes any cash debit balance) held in your account plus the value of any cash invested in a sweep money fund.

Transaction Details

| Date | Category | Action | Symbol/ CUSIP | Description | Quantity | Price/Rate per Share(\$) | Charges/ Interest(\$) | Amount(\$) | Realized Gain/(Loss)(\$) |
|---------------------------|----------|------------------------------|------------------|--|-----------|-----------------------------|--------------------------|----------------|------------------------------|
| 08/13 | Sale | | MMAT | META MATLS INC Exchange Processing Fee \$0.01 | (79.0000) | 0.8501 | 0.01 | 67.15 | (12,105.52) ^(L,T) |
| 08/16 | Interest | Bank Interest ^{X,Z} | | BANK INT 071624-081524 | | | | 0.75 | |
| Total Transactions | | | | | | | | \$67.90 | \$(12,105.52) |

Date column represents the Settlement/Process date for each transaction.

Bank Sweep Activity

| Date | Description | Amount | Date | Description | Amount |
|-------|---|------------|-------|-------------------------------|------------|
| 08/01 | Beginning Balance ^{X,Z} | \$1,971.37 | 08/31 | Ending Balance ^{X,Z} | \$2,039.27 |
| 08/13 | BANK CREDIT FROM BROKERAGE ^X | 67.15 | 08/30 | Interest Rate ^{X,Z} | 0.45% |
| 08/15 | BANK INTEREST - TD BANK USA NA ^{X,Z} | 0.75 | | | |

* Your interest period was 07/16/24 - 08/15/24. ^Z

Endnotes For Your Account

W Excluding unpriced securities (see Investment Detail).

I Value includes incomplete, missing or cost basis that is not tracked due to the security type. If cost basis is not available for an investment, you may be able to provide updates. For questions, please refer to the contact information on the first page of this statement.

X Bank Sweep deposits are held at FDIC-insured Program Banks, which are listed in the Cash Features Disclosure Statement.

Z For the Bank Sweep and Bank Sweep for Benefit Plans features, interest is paid for a period that differs from the Statement Period. Balances include interest paid as indicated on your statement by Schwab or one or more of its Program Banks. These balances do not include interest that may have accrued during the Statement Period after interest is paid. The interest paid may include interest that accrued in the prior Statement Period.